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TC 2800 MAIL ROOM

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: **W. Nowak, et al.**Serial No.: **09/936,469**Filing Date: **September 12, 2001**

US National Phase of PCT/DE00/00722, filed 11/March/2000

For: **FIBER TRANSMISSION ELEMENT FOR GENERATING
A CHROMATIC DISPERSION**TRANSMITTAL LETTERThe Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Please find enclosed for filing:

- ☒ Information Disclosure Statement, PTO-1449 and cited publications.
- ☒ Please charge any additional fees to Deposit Account No. 04-1679.
This Transmittal Letter is submitted in duplicate.
- ☒ Other: 1 return postcard.

Respectfully submitted,

Date: Dec. 11, 2001Stephan P. Gribok
Registration No. 29,643
Duane, Morris & Heckscher, LLP
One Liberty Place
Philadelphia, PA 19103-7396
(215) 979-1283

Docket No.: 3212-24 (D4772-00021)

CERTIFICATE UNDER 37 CFR 1.8(a)
I HEREBY CERTIFY THAT THIS
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ADDRESSED TO ASSISTANT COMMISSIONER
FOR PATENTS, WASHINGTON, D.C. 20231.BY Stephan Gribok
REG. NO. 29643
DATE 12-11-01

PTO/PCT Rec'd 11/11/2002

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INFORMATION DISCLOSURE STATEMENT

The Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to the Duty of Disclosure set forth in 37 CFR 1.97 the materials listed on the enclosed form PTO-1449 are hereby brought to the attention of the Examiner. Each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. A copy of each is enclosed.

The claimed invention is believed patentable over the enclosed references. No representations are made regarding the references other than those set forth above.

Respectfully submitted,

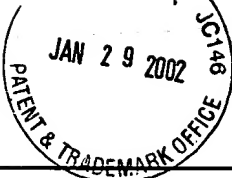
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Stephan P. Gribok

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Form PTO-1449 U.S. Department of Commerce Patent and Trademark Office INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)		Docket No. 3212-24 (D4772-00021)				Serial No.: 09/936,469	
		Applicants: Kowak, et al.					
		Filing Date: September 12, 2001				Group Art Unit:	
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,818,987	10/06/98	Bakhit, et al.	385	28	
	AB	5,430,817	07/04/95	Vengsarkar	385	37	
	AC	4,953,939	09/04/90	Epworth	350	96.19	
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AD	0 826 990 A1	03/04/98	EPO			
	AE	0 829 740 A2	03/18/98	EPO			
OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)							
	AF	K. Hinton, "Dispersion Compensation Using Apodized Bragg Fiber Gratings in Transmission", <i>Journal of Lightwave Technology, US, IEEE</i> , New York, Vol. 16, No. 12, pp. 2336-2346, December, 1998					
	AG	Litchinitser, et al., "Dispersion of Cascaded Fiber Gratings in WDM Lightwave Systems", <i>Journal of Lightwave Technology, US, IEEE</i> , New York, Vol. 16, No. 8, pp. 1523-1529, August, 1998					
	AH	Ky, et al., "Efficient Broadband Intracore, Grating LP ₀₁ -LP ₀₂ Mode Converters for Chromatic-Dispersion Compensation", <i>Optics, Letters, US</i> , Optical Society of America, Washington, Vol. 23 No. 6, pp. 445-447, March, 1998					
EXAMINER					DATE CONSIDERED		
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.							